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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 728.00

Complete if Known

Application Number
Filing Date
First Named Inventor Samuel N. Zellner
Examiner Name
Group Art Unit
Attorney Docket No. 00138

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:
- Deposit Account Number 11-1110
Deposit Account Name Kirkpatrick & Lockhart LLP
- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
☐ Applicant claims small entity status. See 37 CFR 1.27
2. ☒ Payment Enclosed:
☒ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 710	201 355	Utility filing fee	710.
106 320	206 160	Design filing fee	
107 490	207 245	Plant filing fee	
108 710	208 355	Reissue filing fee	
114 150	214 75	Provisional filing fee	

SUBTOTAL (1) (\$) 710.00

2. EXTRA CLAIM FEES

Total Claims 21 -20** = 1 X Fee from below 18 = 18
Independent Claims 2 -3** = 0 X = 0
Multiple Dependent =

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 80	202 40	Independent claims in excess of 3
104 270	204 135	Multiple dependent claim, if not paid
109 80	209 40	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 18.00

*or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for ex parte reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 390	216 195	Extension for reply within second month	
117 890	217 445	Extension for reply within third month	
118 1,390	218 695	Extension for reply within fourth month	
128 1,890	228 945	Extension for reply within fifth month	
119 310	219 155	Notice of Appeal	
120 310	220 155	Filing a brief in support of an appeal	
121 270	221 135	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,240	241 620	Petition to revive - unintentional	
142 1,240	242 620	Utility issue fee (or reissue)	
143 440	243 220	Design issue fee	
144 600	244 300	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR 1.17(q)	
126 180	126 180	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 710	246 355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 710	249 355	For each additional invention to be examined (37 CFR § 1.129(b))	
179 710	279 355	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) -0-

SUBMITTED BY

Name (Print/Type) Jonathan C. Parks
Signature

Registration No. (Attorney/Agent) 40,120

Complete (if applicable)

Telephone (412) 355-6288

Date 12/19/00

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



12-20-00

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Attorney Docket No.: LM(F)4878

Commissioner of Patents and Trademarks
Washington, D.C. 20231

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of Inventor(s):

John O. Moody, Ira Glickstein, Robert J. Szczerba

For (title): **APPARATUS AND METHOD FOR CONTROLLING ALLOCATION OF
RESOURCES AND TASK EXECUTION**

Enclosed are:

1. Papers Required for Filing Date Under 37 CFR 1.53(b):

36 Pages of specification

01 Pages of Abstract

11 Pages of claims

14 Sheets of drawing

☐ formal

☒ informal

In addition to the above papers there is also attached: Information Disclosure Statement,
Form PTO-1449 and the art cited therein (28 U.S. Patent Copies).

CERTIFICATION UNDER 37 CFR 1.10

I hereby certify that this New Application Transmittal and the documents referred to as enclosed
therein are being deposited with the United States Postal Service on this date December 18, 2000
in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EK982080798US
addressed to the : Commissioner of Patents and Trademarks, Washington, D.C. 20231

Lisa L. Pringle

(Type or print name of person mailing paper)

Lisa L. Pringle
(Signature of person mailing paper)

2. Declaration of Preparation:

- ☒ Enclosed ☒ Executed ☐ Not executed
☐ Will follow.

3. Language:

- ☒ English
☐ Non-English
☐ A verified English translation of the
 ☐ specification and claims
 ☐ declaration
is attached.

4. Assignment:

- ☒ An assignment of the invention to Lockheed Martin Corporation
☒ is attached.
☐ will follow.

5. Certified Copy:

Certified copy(ies) of application(s)

(Country)	(appln. no.)	(filed)
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(Country)	(appln. no.)	(filed)
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(Country)	(appln. no.)	(filed)
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from which priority is claimed

- ☐ is attached
☐ will follow

6. Fee Calculation:

(Small Entity filing fee is 50% normal fee)

CLAIMS AS FILED			
Number Filed	Number Extra	Rate	Basic Fee
			\$710.00
Total Claims	46 - 20 = 26	X \$18.00	468.00
Independent Claims	3 - 3 = 0	X \$80.00	0
Multiple dependent claim(s), if any +			

- ☐ Amendment canceling extra claims enclosed
☐ Amendment deleting multiple dependencies enclosed
☐ Fee for extra claims is not being paid at this time

Filing Fee Calculation **\$1178.00**

7. Small Entity Statement:

- ☐ Verified Statement that this is a filing by a **small entity** under 37 CFR 1.9 and 1.27
(Must be enclosed to get small entity filing fee reduction)

8. Fee Payment Being Made At This Time:

☒ basic filing fee \$1178.00
☒ assignment recordal fee 40.00
☐ for processing an application with a specification in non-English language .00
Total fees enclosed **\$1218.00**


9. Method of Payment of Fees:

- ☒ check in the amount of **\$1218.00** enclosed.
☒ The Commissioner is hereby authorized to charge any **DEFICIENCY** in the filing fees for this application to our Deposit Account No. 20-0090.

10. Instruction As to Overpayment:

- ☒ refund

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20,127
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Robert B. Sundheim
Type or print name of attorney